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GU AM

BEFORE THE GUAM CIVIL SERVICE COMMISSION

10-25-13 10:58 an

IN THE MATTER OF:

KENNETH J.C. BALAJADIA,

Employee,

VS.

GUAM POLICE DEPARTMENT,

Management.

ADVERSE ACTION APPEAL CASE NO. <u>12-AA22T</u>

32-13-899 JUDGMENT OF DISMISSAL

Office of the speaker sudith T. Won Pat, Ed. D.
Date: 10 25 2013
Time: 12:10 77
Ruceived h.

The Civil Service Commission hereby dismisses the above captioned case with prejudice pursuant to Stipulation for Settlement signed by both parties and attached hereto.

SO ADJUDGED THIS 24th DAY OF 1ctore 2013.

LUIS R. BAZA

Chairman

PRISCILLA T. TUNCAP

Commissioner

LOURDES HONGYEE

Commissioner

EDITH C. PANGELINAN

Commissioner

MANUEL R. VINAUIN Vice-Chairman

Not Dusin

JOHN SMITH

Commissioner

DANIEL D. LEON GUERRERO

Commissioner

ORIGINAL

STIPULATION FOR SETTLEMENT

Stipulation for Settlement

Kenneth J.C. Balajadia v. GPD/AA Appeal No: 12AA22T

ORIGINAL

Action on September 17, 2012. The charges in the Notice of Proposed Adverse

Action served on September 17, 2012, include the following: 1) Refusal or failure to
perform prescribed duties and responsibilities; 2) Unlawful use, possession or sale of
illicit drugs (crystal methamphetamine); 3) Misuse of government property; 4) Failure
to comply with the Drug Free Workplace Program, and 5) Other Misconduct
(including criminal, dishonest, infamous or notoriously disgraceful conduct adversely
affecting the employee/employer relationship, falsification, misstatement or
concealment of material fact in connection with an official record, false testimony or
refusal to testify in an inquiry, investigation or other official proceeding, and reporting
for duty while under the influence of a narcotic or dangerous drug, or use of same on
government property or on duty.

- B. Employee was personally served with a Notice of Final Adverse Action on September 28, 2012, at 5:40 p.m. The Notice of Final Adverse Action terminated employee's employment effectively immediately upon receipt, which was September 28, 2012.
- C. Employee filed a timely appeal with the Civil Service Commission of his termination.
- D. The parties desire to enter into this Settlement Agreement (hereinafter "Agreement")
 to provide for certain arrangements in full settlement of the adverse action appeal.
 This Agreement sets forth all of the terms and conditions between the parties, and no other agreements or promises exist other than as set forth herein.
- E. The terms and conditions of said Agreement shall become operative upon the execution of this Agreement by the last of the parties to sign.
- F. Employee and his counsel must submit this Agreement to Management's attorney at the Office of the Attorney General on or before Thursday, September 26, 2013, by
 5:00 p.m., along with the requested letter of resignation set forth herein. If the Agreement is not signed by the employee and his attorney and/or not received by

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11.00:29 a.m. 10-25-2013

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Management's attorney by September 26, 2013, on or before 5:00 p.m, with the employee's letter of resignation, then the Agreement will not take effect.

- G. Should the above deadline and conditions be met, the parties agree that this Settlement will be the Agreement of the parties.
- H. The parties request that CSC issue a Judgment based on all of the terms and conditions herein. Should a Judgment issue on all terms and conditions, both parties waive the right to appeal and/or set aside the Judgment. Should a Judgment on all terms not be issued, either party may move to set aside this Agreement and any further Judgment and continue with the adverse action appeal.

NOW THEREFORE, for and in consideration of the mutual promises set forth herein, the parties agree as follows:

Purpose of Agreement. Employee and Management acknowledge and agree
that this Agreement is a settlement and compromise of the referenced matters.

It is the intention of the parties by the execution of this Agreement to fully,
finally and completely resolve the matter between themselves as to employee's
employment status and the matters referenced in the Notice of Proposed and
Final Adverse Action.

2. Employee's Obligation.

- 2.1 Employee shall dismiss his adverse action appeal forthwith with prejudice based on the parties' Agreement. Employee and Management agree that Employee shall not appeal and/or set aside the Judgment issued based on all of the parties' terms and conditions as stated herein.
- 2.2 In exchange for the settlement terms herein, Management agrees to allow employee to resign from his employment retroactive to September 28, 2012, although such resignation shall not be considered to be in good

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> Page 4 Stipulation for Settlement Kenneth J.C. Balajadia v. GPD/AA Appeal No: 12AA22T

- standing under the Department of Administration Rules and Regulations ("DOA PR&R) as set forth below.
- 2.3 Employee shall resign with an effective date for settlement purposes as of September 28, 2012, close of business ("COB"). Employee's resignation shall be deemed not to be in good standing pursuant to DOA PR&R 4.502. 4.406, and 13.004. The letter of resignation shall remain in the employee's official personnel jacket with this Agreement and the Notice of Proposed Adverse Actions dated September 14, 2012, and September 17, 2012.
- 2.4 Employee shall prepare, sign, and submit a currently dated letter of resignation to Management, with an effective date retroactive to September 28, 2012, COB, specifically indicating that his resignation with the Guam Police Department is not in good standing. This resignation letter shall be submitted to GPD, via counsel, no later than September 26, 2013, on or before 5:00 p.m., concurrently with employee's signature and that of his counsel on this Agreement.
- 2.5 This Agreement, the Judgment based on this Agreement, the letter of resignation, and the amended GG-1 that shall reflect a resignation not in good standing, shall replace and supersede the Notice of Final Adverse Action which shall then be expunged after receipt of all of the above documents.
- 2.6 Employee agrees that he shall not be entitled to any monies, benefits, or compensation, and that no such monies and benefits have accrued to him, for employment and/or for any reason after September 28, 2012. This Agreement does not affect any monies that accrued to employee and which were vested as of September 28, 2012.

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2.7 Employee waives any and all claims, known and unknown, against Management as of the date of his signature on this Agreement.

- 2.8 Employee waives any and all rights and/or potential claims relating to reemployment with the Government of Guam and the Guam Police Department as set forth in the Department of Administration's Rules and Regulations and any other applicable laws and regulations relating to reemployment with the Government of Guam.
- 2.9 Employee agrees he shall not apply to any position in the Government of Guam, including but not limited to, the Guam Police Department and/or any other government entity, agency and/or instrumentality. Employee also agrees that he shall never work again for the Government of Guam in any position, including the Guam Police Department, as a condition of this Agreement. Employee shall not be eligible for re-hire with the Government of Guam in any agency and/or in any position, including but not limited to, the Guam Police Department and shall not be eligible to be placed on any eligibility list within the Government of Guam after he signs this Agreement.
- 2.10Employee shall pay his own attorney's fees and costs associated with this matter.

3. Management's Obligation.

3.1 Management shall expunge the Notice of Final Adverse Action relating to this case from the employee's personnel file after Management receives all of the following: (a) the employee's signed letter of resignation, effective September 28, 2012, COB, (b) the conformed Agreement signed by all parties, (c) the Judgment issued by the CSC upon all the parties' terms and

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Kenneth J.C. Balajadia v. GPD/AA Appeal No: 12AA22T

conditions and d) the amended GG-1 showing employee's resignation not in good standing from the Guam Police Department. This Agreement, the CSC Judgment based on this Agreement, the letter of resignation, and the amended GG-1 showing a resignation not in good standing shall replace and supersede the Notice of Final Adverse Action, which will then be expunged. All documents with the exception of the Notice of Final Adverse Action shall remain in the employee's personnel file, including the amended GG-1 which will reflect a resignation of the employee not in good standing.

- 3.2 Management will submit a request for an amended GG-1 changing the employee's status with GPD from a termination effective September 28, 2012, to a resignation not in good standing effective September 28, 2012, pursuant to the parties' Agreement.
- 3.3 Management and the Employee agree that the employee shall not be entitled to receive any monies, benefits and/or attorney's fees and costs under this Agreement, other than any monies vested and accrued as of September 28, 2012, COB. Employee shall bear his own attorney's fees and costs.
- 4. Performance Accepted. The parties each agree and acknowledges:
 - (a) that the party accepts performance of his/her obligations specified in this Agreement as a full and complete compromise of matters involving disputed issues before the Civil Service Commission; (b) that the negotiations for this settlement (including all statements, admissions or communications) by the parties or their attorneys or representatives shall not be considered admissions

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by any of said parties; (c) and that no past or present wrong doing on the part of the parties shall be implied by such negotiations.

- 5. Additional Documents. All parties agree to cooperate fully and execute any and all supplementary documents and take all additional actions that may be necessary as appropriate to give full force and effect to the basic terms and intent of this Agreement.
- 6. Independent Advice of Counsel. Each party represents and declares that that party has received independent advice from its respective attorneys or representative with respect to the advisability of making the settlement provided for herein and with respect to the advisability of executing this Agreement, and/or has been advised to seek such representation and advice and has declined. Each party further represents and declares that that party has not relied upon any statement or representation by the other party or of any of its partners, agents, employees, or attorneys in executing this Agreement or in making the settlement provided for herein, except as expressly provided for herein.
- 7. Voluntary Agreement. Each party represents and declares that that party has carefully read this Agreement, knows the contents of this Agreement, and that each party has signed the same freely and voluntarily. Each party agrees that no other promises or agreements have been made, and that this is the final and complete agreement of the parties.

1 IN WITNESS WHEREOF, the parties have executed this Agreement as of the date written 2 by their respective names. 3 4 PH J.C. BALAJADIA, 5 **EMPLOYEE** 6 9-26-13 7 8 9 Approved as to form and content: 10 11 DONNA E. LAWRENCE, AAG 12 Attorney for GPD 13 14 15 16 CURTIS VAN DE VELD, Actorney for Employee 17 18 19 20 21 22 23 24 25

MAURICE SAYAMA, ACTING CHIEF GUAM POLICE DEPARTMENT Date: 27/49/3 9/27/13

09-20-13

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Kenneth J.C. Balajadia Employee Guam Police Department

To: Chief of Police, Guam Police Department,

Sir,

Effective as of the close of business on September 28, 2012, I hereby resign my employment with the government of Guam, Guam Police Department understanding that such resignation shall be considered to be not in good standing pursuant to DOA PR&R 4.502, 4.406 and 13.04.

Dated: September 26, 2013

